

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. CONTRACT ID CODE PAGE OF PAGES 1 1

2. AMENDMENT/MODIFICATION NO. M119 3. EFFECTIVE DATE See Block 16C 4. REQUISITION/PURCHASE REQ. NO. 5. PROJECT NO. (If applicable) 6. ISSUED BY U. S. Department of Energy Office of Civilian Radioactive Waste Management 1551 Hillshire Drive Las Vegas, Nevada 89134-6321 7. ADMINISTERED BY (If other than Item 6) CODE

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Bechtel SAIC Company, LLC 1180 Town Center Drive Las Vegas, Nevada 89144 9A. AMENDMENT OF SOLICITATION NO. 9B. DATED (SEE ITEM 11) 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC28-01RW12101 10B. DATED (SEE ITEM 13) November 14, 2000 EXECUTED COPY

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT /ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A. B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b). C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: D. OTHER (Specify type of modification and authority) Unilateral Modification: pursuant to Contract Clause I.29 - DEAR 970.5204-2, Laws, Regulations, and DOE Directives; I.24 - DEAR 952.215-70, Key Personnel; and FAR 52.219-9.

E. IMPORTANT: Contractor is not, is required to sign this document and return copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

The purpose of this modification is to revise Part III – List of Documents, Exhibits, and Other Attachments, Section J- List of Attachments, Appendix E – List of Applicable Directive (List B), Appendix C – Small Business Subcontracting Plan, and Appendix D – Key Personnel. The revised Appendices are included as Attachment 1, Attachment 2, and Attachment 3 of this modification, consecutively.

Contract value remains at \$2,394,124,366. All other terms and conditions remain unchanged.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Spencer R. Peterson, Contracting Officer 15B. CONTRACTOR/OFFEROR (Signature of person authorized to sign) 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA BY Spencer R. Peterson (Signature of Contracting Officer) 16C. DATE SIGNED 12-04-07

**PART III**

**SECTION J**

**LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**

**LIST OF ATTACHMENTS**

<b>Document</b>	<b>Number of Pages</b>
Appendix A – Personnel Appendix	23
Appendix B – Special Financial Institution Account Agreement for Use With the Payments Cleared Financing Agreement	5
Appendix C – Small Business Subcontracting Plan	7
Appendix D – Key Personnel	1
Appendix E – List of Applicable Directives	6
Appendix F - Sensitive Foreign Nations Control	4
Appendix G – Performance Guarantee Agreement	2
Appendix H – Guidance for Preparation of Diversity Plan	2
Appendix I – Reports and Plans Requirements List	2
Appendix J – Performance Evaluation and Measurement Plan	23 (Mod A113)

Section Number:	J
Section Title:	PART III LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS
Subsection Number:	APPENDIX E
Subsection Title:	LIST OF APPLICABLE DIRECTIVES (LIST B)

Pursuant to the Section I, Contract Clause DEAR 970 5204-2, entitled, "Laws, Regulations, and DOE Directives", the following list of DOE Directives is applicable to this contract

**NOTE: New or changed directives are in bold.**

Directive No.	Change #	DIRECTIVE TITLE	Remarks
<b>DOE O 110.3A CRD</b>		<b>CONFERENCE MANAGEMENT</b>	
DOE O 130.1 CRD		BUDGET FORMULATION	DOE N 251.45, dated 5-29-02, extended this directive until 5-1-03.
DOE O 142.1 CRD		CLASSIFIED VISITS INVOLVING FOREIGN NATIONALS	
DOE O 142.3 CRD		UNCLASSIFIED FOREIGN VISITS AND ASSIGNMENTS	
<b>DOE O 151.1C CRD</b>		<b>COMPREHENSIVE EMERGENCY MANAGEMENT SYSTEM</b>	CO Letter 8/8/06. Full implementation to be accomplished by mid - FY 08
DOE O 200.1 CRD		INFORMATION MANAGEMENT PROGRAM	
<b>DOE O 205.1A CRD</b>		<b>DEPARTMENT OF ENERGY CYBER SECURITY MANAGEMENT PROGRAM</b>	CO Letter 07-039 dated 9/28/2007 requiring immediate compliance. Replaces: DOE O 205.1
<b>DOE O 210.2 CRD</b>		<b>DOE CORPORATE OPERATING EXPERIENCE PROGRAM</b>	
DOE O 221.1 CRD		REPORTING FRAUD, WASTE, AND ABUSE TO THE OFFICE OF INSPECTOR GENERAL	

Directive No.	Change #	DIRECTIVE TITLE	Remarks
DOE O 221.2 CRD		COOPERATION WITH THE OFFICE OF INSPECTOR GENERAL	
DOE O 225.1A CRD		ACCIDENT INVESTIGATIONS	<b>Cancel:</b> • DOE O 225.1 in part, • DOE 5484.1 in part, Paragraphs 1-5, 6a(1-10), 6b, 6d, 6f(1-8), and the second mis-numbered 6f, and Chapters I and II of DOE 5484.1.
DOE O 226.1A CRD		IMPLEMENTATION OF DEPARTMENT OF ENERGY OVERSIGHT POLICY	
DOE O 241.1A CRD	1	SCIENTIFIC AND TECHNICAL INFORMATION MANAGEMENT	
DOE O 243.2 CRD		VITAL RECORDS	
DOE O 252.1 CRD		TECHNICAL STANDARDS PROGRAM	
DOE O 350.1 CRD	1	CONTRACTOR HUMAN RESOURCE MANAGEMENT PROGRAMS	
DOE O 412.1A		WORK AUTHORIZATION SYSTEM	
DOE O 413.1A CRD		MANAGEMENT CONTROL PROGRAM	
DOE O 413.3A CRD		PROGRAM AND PROJECT MANAGEMENT FOR THE ACQUISITION OF CAPITAL ASSETS	Replaces: DOE O 413.3 Change 1
DOE O 414.1C CRD		QUALITY ASSURANCE	BSC Ltr. #0320068044
DOE O 420.1A CRD		FACILITY SAFETY	
DOE O 430.1B CRD		REAL PROPERTY ASSET MANAGEMENT	CO Ltr. No. 05-017
DOE O 430.2A CRD		DEPARTMENTAL ENERGY AND UTILITIES MANAGEMENT	<b>Cancel:</b> DOE O 430.1A Paragraphs 6d(2), 6h, 7b(1), 7b(2), and 7e(16).

Directive No.	Change #	DIRECTIVE TITLE	Remarks
DOE O 440.2B CRD	1	AVIATION MANAGEMENT AND SAFETY	
DOE O 442.1A CRD		DEPARTMENT OF ENERGY EMPLOYEE CONCERNS PROGRAM	
DOE O 450.1 CRD	Administrative Change 1	ENVIRONMENTAL PROTECTION PROGRAM	
DOE O 460.1B CRD		PACKAGING AND TRANSPORTATION SAFETY	
DOE O 460.2A CRD		DEPARTMENTAL MATERIALS TRANSPORTATION AND PACKAGING MANAGEMENT	
DOE O 470.2B CRD		SECURITY AND EMERGENCY MANAGEMENT INDEPENDENT OVERSIGHT AND PERFORMANCE ASSURANCE PROGRAM	
DOE O 471.1A CRD		IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION	Extended until 7-7-06 by DOE N 251.64, dated 7-7-05
DOE O 471.3 CRD		IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	
DOE O 475.1 CRD		COUNTERINTELLIGENCE PROGRAM	Replaces DOE O 5670.3.
DOE O 534.1B CRD		ACCOUNTING	
DOE O 551.1B CRD		OFFICIAL FOREIGN TRAVEL	
DOE O 580.1 CRD		DEPARTMENT OF ENERGY PERSONAL PROPERTY MANAGEMENT PROGRAM	
DOE O 1220.1A	1	CONGRESSIONAL AND INTERGOVERNMENTAL AFFAIRS	
DOE O 1230.2		AMERICAN INDIAN TRIBAL GOVERNMENT POLICY	Modified by DOE N 144.1 below: The attachment to this Notice replaces and supersedes the attachment to DOE O 1230.2, American Indian Tribal Government Policy, dated 4/8/92.
DOE O 1340.1B		MANAGEMENT OF PUBLIC COMMUNICATIONS	

Directive No.	Change #	DIRECTIVE TITLE	Remarks
		PUBLICATIONS AND SCIENTIFIC TECHNICAL AND ENGINEERING PUBLICATIONS	
DOE O 1350.1	1	AUDIOVISUAL AND EXHIBITS MANAGEMENT	
DOE O 1450.4		CONSENSUAL LISTENING-IN TO OR RECORDING TELEPHONE-RADIO CONVERSATIONS	
DOE O 5400.5	2	RADIATION PROTECTION OF THE PUBLIC AND THE ENVIRONMENT (PORTIONS APPLY)	DOE O 231.1 cancelled paragraph 1A(3)(A) of Chapter II.
DOE O 5480.19	2	CONDUCT OF OPERATIONS REQUIREMENTS FOR DOE FACILITIES	
DOE M 200.1-1 CHAPTER 9		TELECOMMUNICATION SECURITY MANUAL - PUBLIC KEY CRYPTOGRAPHY AND KEY MANAGEMENT	
DOE M 205.1-4 CRD		NATIONAL SECURITY SYSTEM MANUAL	CO Letter 07-031 dated 8/21/07 Cancels: DOE M 471.2-2
DOE M 231.1-1A CRD	2	ENVIRONMENT, SAFETY, AND HEALTH REPORTING MANUAL	
DOE M 231.1-2 CRD		OCCURRENCE REPORTING AND PROCESSING OF OPERATIONS INFORMATION	Cancels DOE M 232.1-1A.
DOE M 442.1-1 CRD		DIFFERING PROFESSIONAL OPINIONS MANUAL FOR TECHNICAL	
DOE M 450.4-1 CRD		INTEGRATED SAFETY MANAGEMENT SYSTEM MANUAL	
DOE M 470.4-1 CRD	1	SAFEGUARDS AND SECURITY PROGRAM PLANNING AND MANAGEMENT	Cancels: DOE N 473.9 DOE M 470.1-1
DOE M 470.4-2 CRD	1	PHYSICAL PROTECTION	Cancels: DOE M 473.1-1 and DOE M 471.2-1B
DOE M 470.4-3 CRD	1	PROTECTIVE FORCE	Cancels: DOE M 473.2-1A DOE M 473.2-2
DOE M 470.4-4 CRD	1	INFORMATION SECURITY	Cancels: DOE M 471.2-1B, DOE M 471.2-1C, DOE M

Directive No.	Change #	DIRECTIVE TITLE	Remarks
			471.2-4, and DOE O 471.2A. CO Letter 07-031 dated 8/21/2007
DOE M 470.4-5 CRD		PERSONNEL SECURITY	Cancel: DOE M 472.1-1B
DOE M 470.4-6 CRD	1	NUCLEAR MATERIAL CONTROL AND ACCOUNTABILITY	Cancel: DOE M 474.1-1B DOE M 474.1-2A CO Letter 07-031 dated 8/21/07.
DOE M 470.4-7		SAFEGUARDS AND SECURITY PROGRAM REFERENCES	Cancel the Safeguards and Security Glossary of Terms, dated 12-18-95
DOE M 471.1-1	1	IDENTIFICATION AND PROTECTION OF UNCLASSIFIED CONTROLLED NUCLEAR INFORMATION MANUAL	Extended until 7-7-06 by DOE N 251.64, dated 7-7-05
DOE M 471.3-1 CRD		MANUAL FOR IDENTIFYING AND PROTECTING OFFICIAL USE ONLY INFORMATION	
DOE M 475.1-1A CRD		IDENTIFYING CLASSIFIED INFORMATION	
DOE N 144.1		CHANGE TO DOE O 1230.2	New Directive: The attachment to this Notice replaces and supersedes the attachment to DOE O 1230.2, American Indian Tribal Government Policy, dated 4/8/92.
DOE N 206.4		PERSONAL IDENTITY VERIFICATION	
MANDATORY STANDARD			
DOE-STD-1090-07		HOISTING AND RIGGING (FORMERLY HOISTING AND RIGGING MANUAL)	Use of this Standard is mandated by Secretarial Direction

**Guides**

Guides support Orders with the same number. Guides provide non-mandatory, supplemental information about acceptable methods for implementing requirements, including lessons learned, suggested practices, instructions, and suggested performance measures.

Guides may identify acceptable ways to implement requirements by referencing appropriate Technical Standards, but they shall not impose additional requirements. Current guides may be found at: <http://www.directives.doe.gov/directives/current.html#number>

**DOE Technical Standards and Good Practice Guides**

Technical Standards and Related Documents are non-mandatory criteria managed under the Technical Standards Program to provide guidance to contractors and DOE personnel on acceptable methods for meeting requirements." Current standards may found at: <http://www.eh.doe.gov/techstds/standard/standard.html>

QA:NA



**Small Business Subcontracting Plan**  
Contract #DE-AC28-01RW12101  
Fiscal Year 2008  
Revision 0

Submitted By: Donald G. Trybul *Donald G. Trybul*  
Title: BSC Manager, Procurement and Property  
Date: 10-15-07

Approved By: Spencer Peterson *Spencer R. Peterson*  
Title: U.S. DOE Contracting Officer  
Date: 10-16-07

*This plan is based upon interim planning guidance received from the Department of Energy and is subject to revision based upon planning guidance.*

This subcontracting plan describes the BSC approach for involving Small Business, 8(a) small business concerns, Service Disable Veteran-Owned small business concerns, HUBZone small business concerns, Certified Small Disadvantaged small business concerns and Women-Owned small business concerns to the maximum extent practicable in the management and operation of the Yucca Mountain Project for the Department of Energy (DOE).

BSC supports DOE'S strong, long-term commitment to diversity. We believe that diversity is much more than affirmative action in the workplace. For BSC, it is an operating principle of management that plays a key role in our success. Diversity in subcontracting provides vital links to the local community, increases our flexibility in meeting project goals, strengthens the local economy, creates new opportunities, is cost effective, and represents best business practices.

BSC has an established record of involving small business concerns in meaningful roles on the Yucca Mountain Project. By building on our experience and by setting challenging and aggressive goals, we have made small business participation and development an integral aspect of our approach to project execution.

In executing the Yucca Mountain Project, BSC will comply with FAR 52.219-8 and 52.219-9, prime contract requirements, and current DOE'S policies and practices. It is BSC's policy to aggressively encourage the participation of small business concerns to the maximum extent practicable consistent with efficient execution of the Yucca Mountain scope of work. All procurements are reviewed to identify opportunities for including small business suppliers and contractors, developing good working relationships with them, and encouraging them to offer their products and services to the Yucca Mountain Project.

### **1. Small Business Subcontracting Goals**

Table 1 shows BSC's small business goals expressed in terms of percentages and estimated procurement dollars of total planned subcontracting dollars including a statement of:

- Total dollars planned to be subcontracted.
- Total dollars planned to be subcontracted to Small Business concerns.
- Total dollars planned to be subcontracted to Certified 8(a) Small Business concerns.
- Total dollars planned to be subcontracted to Veteran-Owned Small Business concerns.
- Total dollars planned to be subcontracted to HUBZone Small Business concerns.
- Total dollars planned to be subcontracted to Certified Small Disadvantaged Business concerns.
- Total dollars planned to be subcontracted to Women-Owned Small Business concerns.

### **2. Potential Subcontract Opportunities for Small Business**

In Table 2 the principal categories of subcontracting opportunities are identified. In accordance with the General Accounting Office (GAO) report dated May 2005, the categories not included are costs

associated with affiliate or foreign purchase agreements. Additionally as stated in Section 5 herein, indirect costs are excluded.

**3. Method Used to Develop Subcontracting Goals**

To establish our subcontracting goals and commitments, we gathered available Yucca Mountain information, forecasted probable acquisition needs, and studied and analyzed project estimates. We also used our collective past project experience to determine potential requirements and contingencies.

<b>TOTAL ESTIMATED DOLLARS AVAILABLE FOR SUBCONTRACTING: \$ 60,400,000 *</b>		
<b>Category</b>	<b>% of Total Estimated Subcontracting Effort Available</b>	<b>Estimated Dollars Planned for Subcontracting</b>
Total planned and available for subcontracting to Small Business (SB) concerns	33 %	\$ 19,932,000
Total planned and available for subcontracting to 8(a) Business concerns	2.5 %	\$ 1,510,000
Total planned and available for subcontracting to Service Disabled Veteran Owned Business concerns	3 %	\$ 1,812,000
Total planned and available for subcontracting to HUBZone Small Business concerns	1 %	\$ 604,000
Total planned and available for subcontracting to Certified Small Disadvantaged Business concerns	5 %	\$ 3,020,000
Total planned and available for subcontracting to Women Owned Small Business concerns	6 %	\$ 3,624,000

**Table 1. Total Planned Subcontracting Dollars**

*\* Note: The percentages provided above were determined with the best budgetary information available at the time of this plan and are subject to revision based upon project funding and other factors affecting the total available subcontracting base.)*

Subcontracting potential	SB	HubZone	SDB	WOSB	DV
Computer equipment	X			X	
Office furniture	X			X	
Office supplies	X		X		X
Communication equipment	X	X			
Electrical and electronic supplies	X	X			
Fuel and petroleum products	X				
Lab equipment and supplies	X		X	X	
Small tools	X			X	
Construction equipment/vehicle rental	X				
Building materials	X				X
General construction	X				
Maintenance services (janitorial/equipment)	X			X	X
Technical and personal services	X		X	X	
Computer service/maintenance	X				X
Administrative services (printing/copying/advertising)	X		X	X	

**Table 2. Principal Categories of Subcontracting Opportunities**

**4. Methods Used to Identify Potential Sources for Solicitation**

We continually identify and review all potential sources of supplies and services, including, but not limited to, the following:

- Online access to U.S. Small Business Administration Dynamic Small Business Database.
- Online access to U.S. Small Business Administration SUB-Net.
- BSC proprietary Supplier Information System, which includes past performance data.
- National Minority Purchasing Council Vendor Information Services.
- Historical reports and data showing products and services obtained from small business concerns by other associate contractors.
- Various directories and source lists such as the following:

1. Existing BSC Supplier database
2. BSC website; [www.BSCUpdate.com](http://www.BSCUpdate.com)
3. eBechtel<sup>®</sup> Program Supplier database
4. Small Business Administration – Las Vegas Office
5. SBA-CCR Dynamic Small Business database
6. CCR - Central Contractor Registration
7. Nevada Small Business Development Center
8. Lincoln County Small Business Advocacy Group
9. Annual BSC sponsored outreach events in Clark, Lincoln and Nye Counties
10. Annual DOE Small Business National Conference
11. Government websites; [FedBizOpps.gov](http://FedBizOpps.gov)
12. Advocacy websites; The Veterans Corporation Business Directory and Center for Veterans Enterprise
13. Nevada – [www.ConstructionNotebook.com](http://www.ConstructionNotebook.com) (regional source for construction sources)
14. SBA SUB-Net, Subcontracting Network

#### **5. Indirect Costs**

Indirect costs are not included in the goals under this plan.

#### **6. Administrator of Subcontracting Plan**

The BSC Manager Procurement and Property, is designated to administer this Subcontracting Plan. He will ensure that the following activities are managed in a timely and effective manner:

- Maintain source lists of potential small business subcontractors.
- When the number of prospective sources is not adequate, seek out other small businesses through the use of mass media tools, such as Internet bulletin boards.
- Mentor existing small business concerns currently under subcontract, enhancing their ability to provide timely, cost-effective, and quality services.
- Advise and train project management personnel on the purpose of this plan and foster adequate support.
- Keep records describing performance against the goals established herein.
- Submit ISR (formerly SF-294) "Individual Subcontracting Report" and SSR (formerly SF-295) "Summary Subcontract Report," in accordance with the prime contract and instructions provided by the Contracting Officer.
- Verify that subcontractors contain the flow-down clauses pertaining to small business concerns, when required, and maintain the policies and procedures required by the prime contract.

- Maintain liaison and good working relationships with Small Business Administration representatives to obtain assistance and coordination in finding capable small businesses.
- Maintain a close working relationship with DOE to ensure that BSC project objectives and activities are consistent with the DOE objectives.
- Require lower-tier subcontractors to submit subcontracting plans when applicable, and monitor for compliance with those plans.

#### **7. Implementation**

The following additional functions will be performed to effectively implement this plan:

- Package solicitations (including time for preparation, scope of work, quantities, specifications, and delivery schedules) to facilitate participation by small businesses in subcontracting opportunities and solicitation, offer, and proposal activities.
- Establish and maintain contacts with small business trade associations and business development organizations.
- Conduct internal training programs to ensure that internal customers and buyers are acquainted with BSC policy and prime contract requirements, and to ensure that, externally, small businesses are familiar with requirements for doing business with the Yucca Mountain Project.
- Maintain an effective outreach program by sponsoring and attending regional procurement conferences, trade fairs, and other functions, to locate additional qualified sources.
- Preselect small business concerns to perform specific scopes of work.
- Structure the program to help develop the capabilities and quality of services provided by small business suppliers and subcontractors currently performing work at Yucca Mountain.

#### **8. Subcontract Terms and Conditions**

BSC incorporates the flowdown clause requirements of FAR 52.219-9 as applicable to subcontracts offering further subcontracting opportunities requiring all subcontractors, except small business concerns, that receive subcontracts in excess of \$500,000 (\$1 million for construction of any public facility) to adopt a similar plan.

#### **9. Reports, Studies, and Surveys**

BSC Procurement and Property Compliance Department participates in all requests for information, studies and surveys as requested and does so proactively in order to maintain a high degree of participation in our socio-economic program.

A schedule of periodic reports is adhered to in keeping the DOE informed of progress to stated targets. The BSC Procurement and Property Department maintains all compliance reporting and schedules:

<u>Report</u>	<u>Frequency</u>	<u>Entity</u>	<u>Prescribed By</u>
Balanced Scorecard Annual Report	Annual	DOE	DOE/Prime Contract and Plan
Annual Procurement Survey	Annual	BSC	Balanced Scorecard
ISR Progress Report	Semi-Annual	SBA	FAR 19.704 (10) *
SSR Progress Report	Annual	SBA	FAR 19.704 (10) *
24 Month Forecast	Semi-Annual	PCA	Prime Contract Plan

\* The Subcontractor Reporting System (SRS) administered on-line via the Internet is utilized for reporting all required ISR and SSR submittals.

### 10. Records

The types of records that will be maintained to demonstrate the procedures adopted to ensure compliance with the requirements and goals of the subcontract plan include:

- a) Source lists (e.g., SUB-Net, SBA Database), guides, and other data that identify Small Business, 8(a) small business concerns, Service Disabled Veteran-Owned small business concerns HUBZone, Certified Small Disadvantaged business and Women-Owned small business.
- b) Organizations contacted in an attempt to locate sources that are small business.
- c) Records on each subcontract solicitation resulting in an award of more than \$100,000 indicating whether small business concerns were solicited and, if not, why not.
- d) Records of any outreach efforts to contact:
  - Trade associations.
  - Business development organizations.
  - Conference and trade fairs to locate small, 8(a), Service Disabled Veteran-owned HUBZone small, small Certified Disadvantaged and Women-owned small business resources.
- e) Records of internal guidance and encouragement provided to buyers through:
  - Workshops, seminars, training, etc.
  - Monitoring performance to evaluate compliance and program requirements.
  - BSC Small Business monthly newsletters.

**PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS**  
**SECTION J – LIST OF ATTACHMENTS**

**APPENDIX D – KEY PERSONNEL**

Pursuant to the Section I, Contract Clause DEAR 952.215-70, entitled, “Key Personnel,” the following positions are considered to be essential to work being performed.

<b>Title</b>	<b>Name</b>
General Manager	T. C. Feigenbaum
Deputy General Manager	T. R. McKinney
Environment, Safety and Health Manager	M. T. Sullivan
Quality Assurance Manager	D. A. Taggart
Licensing & Nuclear Safety Manager	R. M. Kacich
Repository Project Management Manager	R. J. Tosetti
Project Controls Managers	R. S. Hajner
Business Systems Manager	K. D. Irwin
Organizational Assurance Manager	C. D. Sorensen
Repository Operations Manager	M. D. Kennedy